# Prospective Study of Clinical Phenotypes in Neuropsychiatric Systemic Lupus Erythematosus; Multidisciplinary Approach to Diagnosis and Therapy

ELISABETH J.M. ZIRKZEE, GERDA M. STEUP-BEEKMAN, ROOS C. van der MAST, EDUARD L.E.M. BOLLEN, NIC J.A. van der WEE, ESTHER BAPTIST, THOMAS M. SLEE, MENNO V. HUISMAN, HUUB A.M. MIDDELKOOP, JASPER LUYENDIJK, MARK A. van BUCHEM, and TOM W.J. HUIZINGA

ABSTRACT. Objective. To describe clinical phenotypes in neuropsychiatric systemic lupus erythematosus (NPSLE).

*Methods.* Data were prospectively collected in the Leiden NPSLE referral clinic, where patients suspected of having NPSLE are assessed in a standardized multidisciplinary manner. In consensus meetings, all medical specialists agreed on therapeutic strategy based on the suspected pathogenetic mechanism of NPSLE in the individual patient. An algorithm illustrates the process of decision-making during the consensus meeting. Clinical phenotypes are described, classified by pathogenetic mechanism.

**Results.** One hundred consecutive patients were evaluated, of whom 71 had SLE (29 patients did not fulfill  $\geq$  4 American College of Rheumatology criteria) and 46 had NPSLE. Primary NPSLE was diagnosed in 38 patients (53%) and could be differentiated in 21 patients (55%) with inflammatory NPSLE who were advised on immunosuppressive therapy, 12 patients (32%) with ischemic NPSLE who were advised on anticoagulant therapy, and 5 patients (13%) with undefined NPSLE who were advised symptomatic treatment only. Cognitive dysfunction and higher level of disease activity were associated with inflammatory NPSLE. Although presence of immunoglobulin G anticardiolipin antibodies and abnormalities on magnetic resonance imaging (MRI) were associated with ischemic NPSLE, abnormalities on MRI lacked specificity to distinguish phenotypes. A history of renal disease and use of corticosteroids were associated with secondary NPSLE.

*Conclusion.* We describe multidisciplinary consensus as a standard for diagnosing and defining phenotypes in NPSLE. These phenotypes show specific characteristics, which can be used to support diagnosis and guide therapeutic decisions. Clinical phenotyping and selection of patients becomes increasingly important when advances in experimental science lead to new targets for therapy in NPSLE. (J Rheumatol First Release Sept 15 2012; doi:10.3899/jrheum.120545)

Key Indexing Terms: SYSTEMIC LUPUS ERYTHEMATOSUS MENTAL DISORDERS

Understanding of pathogenesis in neuropsychiatric systemic lupus erythematosus (NPSLE) is emerging and recent experimental work links autoantibodies to cognitive dysfunction<sup>1,2</sup>. Cognitive dysfunction is reported in up to 80% of patients with SLE, but it can also be a nonspecific finding<sup>3,4</sup>. Uniformity and transparency in establishing NPSLE

From the Department of Rheumatology, Leiden University Medical Center; Department of Psychiatry, Leiden University Medical Center; Department of Neurology, Leiden University Medical Center; Leiden Institute for Brain and Cognition, Leiden University Medical Center; Department of Internal Medicine, Leiden University Medical Center; Department of Neurology, Clinical Neuropsychology Unit, Leiden University Medical Center; Department of Radiology, Leiden University Medical Center; and Institute of Psychology, Clinical Neuropsychology Unit, Leiden University, Leiden, The Netherlands.

E.J.M. Zirkzee, MD; G.M. Steup-Beekman, MD, PhD, Department of Rheumatology; R.C. van der Mast, MD, PhD, Department of Psychiatry; E.L.E.M. Bollen, MD, PhD, Department of Neurology; N.J.A. van der Wee, MD, PhD, Department of Psychiatry and Leiden Institute for Brain

### NEUROLOGIC MANIFESTATIONS DIAGNOSIS

has improved since the introduction of the 1999 American College of Rheumatology (ACR) Nomenclature and case definitions, but the usefulness in clinical practice is limited<sup>5,6,7</sup>. Therefore, NPSLE still presents a challenge to the clinician and usually involves the expertise of several medical specialists.

and Cognition; E. Baptist, MD, Department of Psychiatry; T.M. Slee, MD; M.V. Huisman, MD, PhD, Department of Internal Medicine; H.A.M. Middelkoop, PhD, Department of Neurology and Clinical Neuropsychology, Leiden University Medical Center, and Institute of Psychology, Clinical Neuropsychology Unit, Leiden University; J. Luyendijk, MD; M.A. van Buchem, MD, PhD, Department of Radiology; T.W.J. Huizinga, MD, PhD, Department of Rheumatology, Leiden University Medical Center.

Address correspondence to Dr. E.J.M. Zirkzee, Department of Rheumatology, Leiden University Medical Center, PO Box 9600, 2300 RC Leiden, The Netherlands. E-mail: E.J.M.Zirkzee@LUMC.nl Accepted for publication July 17, 2012.

To date, therapeutic decisions in NPSLE are made per individual patient and are based on the suspected pathogenetic cause and severity of symptoms<sup>8,9</sup>. Proposed etiological mechanisms in primary NPSLE are inflammation, cytokine- or autoantibody-mediated neuronal dysfunction or damage, intracranial angiopathy, and ischemia and thrombotic events<sup>10,11</sup>. Therapy can be directed at inflammation with immunosuppressive medication or at ischemia and thrombotic events with anticoagulants. Further, especially in mild cases, therapy can focus on symptoms and consist of antidepressants, anticonvulsants, or antipsychotics only. In addition, in secondary NPSLE, patients have various neuropsychiatric (NP) symptoms due to the medication for SLE or to SLE-related organ damage.

Evidence for the selection of patients for immunosuppressive therapy, e.g., cyclophosphamide, is largely lacking, as are data on the phenomenology of NPSLE per pathogenetic cause<sup>12,13</sup>. The goal of our study was to describe in detail the multidisciplinary diagnostic approach and different clinical phenotypes of patients with NPSLE. Clinical phenotypes are based upon the suspected pathogenetic mechanism and include inflammatory NPSLE, ischemic NPSLE, undefined NPSLE, and secondary NPSLE.

The Leiden University Medical Center serves as a tertiary referral center for NPSLE. Because of the limited availability of standardized prospective data<sup>14</sup>, we started the Leiden NPSLE clinic in 2007 to evaluate patients with a suspicion of NPSLE in a standardized, multidisciplinary way. This tertiary care facility aids physicians in diagnosing and treating NPSLE, leading to a prospectively collected database. We used this database of patients with NPSLE to describe the phenotypes.

### MATERIALS AND METHODS

In order to diagnose NPSLE we designed a 1-day program for assessment of patients by all relevant medical specialists. Therapeutic decisions were made based on the suspected underlying pathogenetic mechanism of NPSLE by consensus of all participating medical specialists. Table 1 offers an outline of patient assessment.

*Patients*. All patients suspected of having NPSLE and who speak Dutch or English can be referred to the Leiden NPSLE clinic by their treating physician. Patients give written informed consent for the storage of clinical data including serum and DNA for future research purposes. Sociodemographic variables were assessed for all patients, including age, education level (primary, low education, 0–8 years; secondary medium education, 9–16 years; and high vocational/university education), and ethnicity. A diagnosis of  $\geq$  4 ACR criteria<sup>15,16</sup> was mandatory for the diagnosis of SLE.

*Rheumatology assessments.* Patients were assessed by a rheumatologist (GMS-B) for current signs and symptoms, use of medication, medical history, and family history. A general physical examination was performed. The assessment was specifically aimed at past and current manifestations of SLE disease activity and end-organ damage due to SLE. Patients are classified according to the revised ACR criteria for SLE<sup>15,16</sup> and the Systemic Lupus Erythematosus Disease Activity Index (SLEDAI)<sup>17</sup>. Disease duration and symptom duration is extracted from the medical records when possible or derived from the history.

*Internal medicine*. Patients were assessed by a resident in internal medicine (TRS) under the close supervision of an internist (MVH) specializing in vascular medicine for symptoms of former and current vascular diseases. Special attention was paid to symptoms of atherosclerotic disease, thrombotic events, vasculitis, and cardiovascular (CV) risk factors including hypertension, dyslipidemia, and diabetes. According to the SCORE system for management of CV risk, risk factors are denominated as follows: hypertension if systolic blood pressure is > 140 mm Hg, obesity if body mass index exceeds 29.9, hypercholesterolemia if total cholesterol exceeds 6.5 mmol/ $1^{18}$ .

*Neurology*. A neurological assessment was done by an experienced neurologist (ELEMB) and focused on headache and signs of seizures, alertness, and motor and sensory deficits. Examination includes fundoscopy, examination of cranial nerves, visual fields, strength of arm muscles and dexterity, observation of gait and ataxia, walking on toes and heels, tendon reflexes, Babinski reflex, sensory examination of gnostic and vital abilities, fingertip-nose test, muscle tone, and muscle atrophy. An acute focal neurological deficit with resolution within 24 h is reported as a transient ischemic attack (TIA)<sup>5</sup>. If indicated, assessment of cerebrospinal fluid (CSF), electroencephalography, or electromyography are performed.

*Psychiatry*. Psychiatric assessment is done by a resident in psychiatry (EB) under close supervision of a psychiatrist (RCvdM or NJAvdW) and includes a detailed psychiatric history and mental status examination assessing behavior, cognition, perception, and thinking, as well as mood and affect in a standardized manner. The following 4 instruments are used to assess patients: the Medical Outcomes Study Short Form-36 (SF-36) for measurement of self-reported quality of life regarding physical and mental functioning<sup>19,20</sup>(the Dutch translation of the SF-36 was validated in both the general population and populations with chronic disease<sup>21</sup>); the Hospital Anxiety and Depression Scale (HADS) for assessment of self-reported anxiety and depression<sup>22,23</sup>; the Dissociation Experience Scale for measurement of self-reported dissociative experiences<sup>24</sup>; and the Neuropsychiatric Inventory (NPI), a 10–20 min interview, for evaluation of a wide range of NP symptoms, recording severity and frequency separate-

Start	Inclusion		2 Weeks	≥ 12 Months (future plan)
Referral by treating	Evaluation by:	Additional tests:	Consensus meeting:	Followup:
physician	Rheumatologist	MRI brain,	Rheumatologist	Rheumatologist
	Internist	MTI, RS fMRI,	Internist	Internist
	Neurologist	blood tests,	Neurologist	Neurologist
	Psychiatrist	urine tests,	Psychiatrist	Psychiatrist
	Neuropsychologist	neuropsychological tests, SF-36, HADS, DES, NPI*	Neuropsychologist Radiologist	Neuropsychological tests, MRI brain

Table 1. Procedure in evaluation of patients.

MRI: magnetic resonance imaging; MTI: magnetization transfer imaging, resting state functional MRI. \* Short Form-36, Hospital Anxiety and Depression Scale, Dissociation Experience Scale, Neuropsychiatric Inventory.

 $ly^{25}$ . Psychopathology is based on the psychiatric history and mental status examination, following Diagnostic and Statistical Manual IV classification<sup>26</sup>.

Neuropsychology. Formal neuropsychological testing of patients, including history taking and clinical observation, is conducted to obtain quantitative measures of global cognitive functioning with a specific focus on memory, executive functioning, and psychomotor speed as adapted from the neuropsychological test battery suggested by the 1999 ACR NPSLE nomenclature and case definition system, Appendix C<sup>5</sup>. Global cognitive functioning of patients is assessed using the Mini Mental State Examination. The Wechsler Memory Scale and the Wechsler Adult Intelligence Scale-Revised (WAIS-R) subtest Digit Span are used to examine memory functions. Executive functions are assessed with the Stroop Color and Word Test, the Trail Making Test, the WAIS-R subtest Digit Symbol Coding, a Word Fluency Task, and the Digit Cancellation Test. The Digit Symbol Coding test also provides a measure of psychomotor speed. Patients' handwritten copies of perspective and geometric figures are used as measures for constructional praxis. Cognitive performance also depends on the psychiatric status of the patient. Therefore, the HADS and NPI are also part of the neuropsychological examination. Details regarding administration, scoring, and clinical value of the neuropsychological tests have been described<sup>27</sup>. If indicated, patients are scheduled for a second session for additional neuropsychological testing. The neuropsychological examination is evaluated by an experienced clinical neuropsychologist (HAMM). Cognitive deficits are classified as definitive, questionable, or absent as interpreted by the clinical neuropsychologist. Cognitive deficits are considered severe if they result in inability to function in daily life without professional help.

Radiology. Standard of imaging is magnetic resonance imaging (MRI), performed on a 3 Tesla MRI scanner (Philips Medical Systems), and images are evaluated by an experienced neuroradiologist (MvB)<sup>28</sup>. The scanning protocol consists of a high-resolution T1-weighted sequence before and after intravenous administration of gadolinium contrast agent, T2-weighted, fluid-attenuated inversion recovery (FLAIR) sequences, and a diffusion-weighted imaging (DWI) sequence. In addition to the standard clinical sequences, diffusion tensor images (DTI), magnetization transfer imaging (MTI), proton magnetic resonance spectroscopic imaging, and resting state functional MRI are performed. Previous studies have shown that MTI is a valuable addition in diagnosing NPSLE, by correlating change in peak height to clinical activity of the disease<sup>29</sup>. Infarction on MRI is defined as tissue loss or parenchymal defect, following the signal intensities of CSF (i.e., high on T2 and low on T1 and FLAIR) with a surrounding area of high signal on T2 and FLAIR respecting the flow territories and not explained by trauma or iatrogenic lesions<sup>30</sup>. If indicated, MR angiography of cerebral arteries and veins or MRI of the spine is performed.

Laboratory tests. Laboratory evaluation is performed, including a complete blood count, creatinine clearance, urinalysis, liver function tests, electrolytes, erythrocyte sedimentation rate and C-reactive protein, anti-dsDNA antibodies, rheumatoid factor, antinuclear factor, antiextractable nuclear antigens, complement levels, thyroid function, lipid profile, and glucose. Anticardiolipin antibodies (aCL) and lupus anticoagulant are measured once. A serum sample and DNA from all patients is stored for future research purposes.

*Consensus meeting*. All medical specialists above meet in 2 weekly scheduled meetings to discuss the patients. Diagnosis of NPSLE is made by consensus, taking into account the assessments described above. The consensus group agrees upon the following aspects: (1) diagnosis of  $SLE^{15,16}$ ; (2) objective complaints (assessed to standard of care of the appropriate medical specialty); (3) absence of another diagnosis that explains symptoms (e.g., schizophrenia in psychosis); (4) diagnosis of NPSLE and ACR 1999 classification<sup>5</sup> if appropriate; and (5) suspected pathogenetic mechanism for NPSLE and advice on therapy. To diagnose and classify patients, all the assessments are considered; Figure 1 offers an algorithm of the most relevant diagnostic considerations based on the expert opinion of the consen-

sus group. A representative of every discipline is required for the consensus meeting and after discussion, conclusions were unanimous.

For each patient we assess involvement of the following pathogenetic mechanisms: (1) primary inflammatory NPSLE (inflammatory and neurotoxic pathways); (2) primary ischemic NPSLE (ischemic and thrombotic pathways); (3) undefined NPSLE; and (4) secondary NPSLE (NP symptoms secondary to medication for SLE or organ damage related to SLE). A patient with inflammatory or ischemic NPSLE will be advised to be treated with the respective immunosuppressive or anticoagulation therapy, following international recommendations<sup>31</sup>. Anticoagulants can be added to immunosuppressive therapy in patients with inflammatory disease with signs of secondary ischemia. Patients with undefined NPSLE will be advised to be treated symptomatically only (anticonvulsants, antidepressants, antipsychotics, or psychological therapy), or are reevaluated in 6 to 12 months if symptoms persist. In patients with secondary NPSLE, advice focuses on the specific cause, and current medication is evaluated and change advised if appropriate.

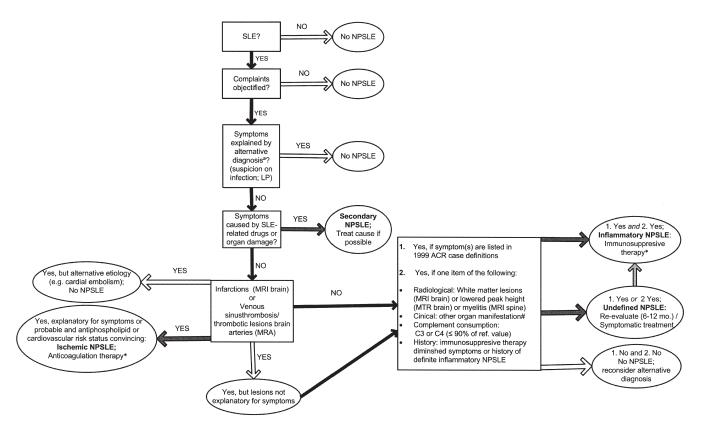
Further, the following descriptors are noted: chronology (episodic, remittent, sustained, progressive), severity [mild (patient is able to lead a normal daily life), moderate, severe (prolonged hospital stay due to inabilities from neurological/psychiatric disorder, death)], following the ACR 1999 criteria for basic descriptors<sup>5</sup>. With respect to immunosuppressive medication, the consensus group agrees on the following: patients with severe symptoms according to ACR 1999 criteria<sup>5</sup> get the advice for cyclophosphamide intravenously (National Institutes of Health regime) for at least 6 months, in accord with 3 consecutive days of methylprednisolone 1000 mg intravenously, followed by 1 mg/kg prednisolone orally tapered with 10 mg/month. Patients with moderate symptoms are advised 0.5 mg/kg prednisolone orally and patients with moderate symptoms are advised 1 mg/kg prednisolone orally. When a prolonged course of symptoms is expected we advise azathioprine to be added to oral prednisolone for maintenance therapy and taper prednisolone as soon as possible.

*Data analysis*. Descriptive statistics are used for the patients' characteristics. Comparisons between phenotypes are done with Mann-Whitney U test and chi-squared tests where appropriate. Characteristics of patients of the phenotype under study are compared with characteristics of all patients with SLE of other phenotypes. All significant results are reported. Sensitivity and specificity are calculated for all dichotomous variables that show significance.

# RESULTS

From September 2007 until December 2009 we evaluated 100 patients. The feasibility of the NPSLE clinic was evaluated with respect to necessity and organization. The assessment program took place every week as scheduled. We were able to conduct all assessments as outlined in Table 1 in 97 patients. In 2 patients, NP examination was not conducted because of coma and severe symptoms of psychosis, and in 1 patient MRI of the brain was not performed because of claustrophobia. Of the 100 evaluated patients, 70 and 13 were referred to the NPSLE clinic by a rheumatologist or neurologist, respectively. Seventeen patients were referred by other medical specialists, e.g., an internist or psychiatrist. More than 60% of patients were referred by a medical specialist from other hospitals, of which 15% worked in a university medical center.

Figure 2 shows the outcome of the evaluation of NP symptoms and the advice for therapy in the first 100 patients that were evaluated. Seventy-one patients fulfilled ACR criteria for SLE and 46 were diagnosed with NPSLE.



*Figure 1*. The algorithm of the most relevant diagnostic considerations based on the expert opinion of the consensus group. LP: lumbar puncture; MRI: magnetic resonance imaging; MRA: magnetic resonance angiography; MTR: magnetization transfer ratio. <sup>a</sup>Including all exclusion criteria of the American College of Rheumatology (ACR) 1999 neuropsychiatric systemic lupus erythematosus (NPSLE) case definitions; other items considered: family history, psychosocial conditions, age, and timing of symptom onset. \*Treat all cardiovascular risk factors. <sup>#</sup>Indication for therapy regardless of NPSLE status, including hematological manifestations.

Twenty-nine patients did not fulfill  $\ge$  4 ACR criteria<sup>15,16</sup>. If the algorithm in Figure 1 is considered, 97 out of the 100 evaluated patients were correctly classified and received advice that was consistent with the expected phenotype. Two incorrectly classified patients received advice for symptomatic treatment and therefore are described as undefined NPSLE; however, considering the algorithm they should have been classified as inflammatory NPSLE. The third misclassified patient received advice for antiplatelet medication and therefore was classified as ischemic NPSLE; however, following the algorithm this patient should have been classified as undefined NPSLE.

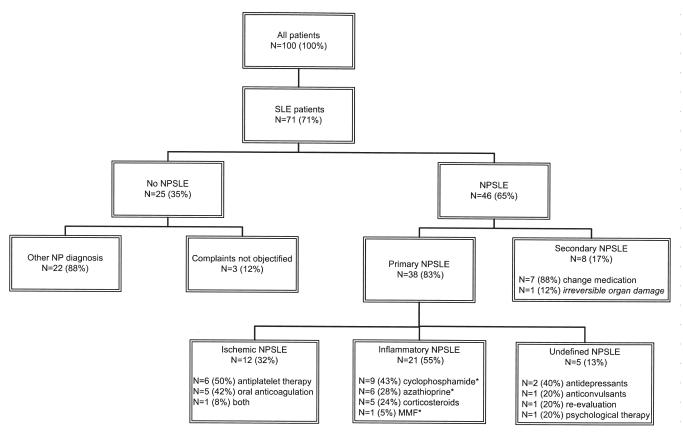
Thirty-eight patients (38/71; 54% of all patients with SLE) were diagnosed with primary NPSLE. Figure 2 shows the advice for therapy for all patients. Twenty-one patients (21/38; 55%) were diagnosed with inflammatory NPSLE and were advised to be treated with immunosuppressive medication. One patient with inflammatory NPSLE was treated with mycophenolate mofetil because of coexisting renal involvement, and in 1 patient with inflammatory NPSLE, antiplatelet therapy was added to immunosuppressive medication. Twelve patients (12/38; 32%) were diagnosed with ischemic NPSLE and were advised treatment with anticoagulant medication. In 2 of these patients

antiplatelet therapy was added to current oral anticoagulants. Five (5/38; 13%) patients were diagnosed with undefined NPSLE.

Eight patients (8/71; 11% of all patients with SLE) were diagnosed with secondary NPSLE. Of them, 7 (7/8; 88%) were advised to change their medication, which meant reducing corticosteroids in 5 patients (5/8; 63%). One patient had cognitive dysfunction because of irreversible damage caused by SLE 20 years before evaluation.

Twenty-five patients with SLE (25/71; 35%) had NP symptoms that were not attributed to SLE. In 3 patients (3/25; 12%) the NP symptoms (cognitive dysfunction in 2 patients and intermittent loss of consciousness in 1 patient) could not be objectified. In 22 patients (22/25; 88%) NP symptoms were attributable to other causes, in the majority to preexisting psychiatric syndromes like schizophrenia or depression or to psychosocial circumstances. In this category we also found NP symptoms due to multiple sclerosis, cervical disc herniation, and an arachnoidal cyst in the brain.

Table 2 shows sociodemographic and clinical characteristics of patients by clinical phenotype. Definitive cognitive dysfunction was more prevalent in inflammatory NPSLE compared to SLE patients of other phenotypes (p < 0.005;



*Figure 2*. Outcome of the evaluation of neuropsychiatric (NP) symptoms and the advice for therapy in the first 100 patients evaluated. Seventy-one patients fulfilled American College of Rheumatology criteria for systemic lupus erythematosus (SLE) and 46 were diagnosed with neuropsychiatric SLE (NPSLE). \*Combined with corticosteroids. MMF: mycophenolate mofetil.

sensitivity and specificity of cognitive dysfunction for diagnosis of inflammatory NPSLE 62% and 74%, respectively). Further, in inflammatory NPSLE, disease activity was relatively high (mean SLEDAI 9.7, SD 5.4), due partly to the presence of the NP symptoms (mean SLEDAI excluding NP symptoms was 5.2, SD 2.8). Disease activity in patients with inflammatory NPSLE was significantly higher than that in patients with SLE of other phenotypes (SLEDAI p < 0.005; SLEDAI excluding NP symptoms (16/21; 76%) had moderate and 4 had severe symptoms (4/21; 19%). Ten patients (10/21; 48%) showed a chronic disease course, 4 (4/21; 19%) a progressive course, and 4 (4/21; 19%) either an episodic or a remittent course.

In ischemic NPSLE, IgG aCL were highly prevalent; this was significantly different from patients of other clinical phenotypes (p < 0.05; sensitivity and specificity of IgG aCL for diagnosis of ischemic NPSLE 58% and 81%, respectively). Prevalence of other CV risk factors noted in Table 2 did not differ significantly between patients with ischemic NPSLE and patients of other phenotypes. In contrast to other phenotypes, only patients with ischemic NPSLE reported a TIA (3/12; 25%). MRI abnormalities are classification requirements and therefore are present in 100% of

patients with ischemic NPSLE, and although MR imaging is also frequently abnormal in other phenotypes, MRI presents a distinguishable characteristic for ischemic NPSLE (p < 0.05; sensitivity 100% and specificity 40%). In patients with ischemic NPSLE, severity of symptoms was considered to be moderate in 92% (11/12). Half of these patients had 1 disease episode, whereas the others showed either a remittent or a chronic disease course.

History of renal disease is highly prevalent in patients with secondary NPSLE, compared with patients of other phenotypes, a significant difference (p < 0.05; sensitivity and specificity of renal disorders for the diagnosis of secondary NPSLE 50% and 84%, respectively). Moreover, patients with secondary NPSLE used significantly more corticosteroids than patients of other phenotypes (p < 0.05; sensitivity and specificity of prescription of corticosteroids for diagnosis of secondary NPSLE 88% and 57%). Symptoms were mild in all patients with secondary NPSLE, with 3 patients (3/8; 38%) having a chronic disease course, 2 (2/8; 25%) a remittent, and 3 (3/8; 38%) an episodic course.

Patients with undefined NPSLE did not differ significantly from patients of other phenotypes. Most patients had an episodic disease course and moderate symptom severity. Table 3 shows diagnoses according to ACR case defini-

Table 2. Sociodemographic and clinical characteristics of 71 SL	LE patients with neuropsychiatric manifestations.
---	---

	Non-NPSLE, n = 25	Undefined NPSLE, n = 5	Inflammatory NPSLE, n = 21	Ischemic NPSLE, n = 12	Secondary NPSL $n = 8$
Age, mean (SD), yrs	42 (17)	45 (15)	42 (15)	47 (13)	34 (14)
Female, n (%)	23 (92)	4 (80)	17 (91)	12 (100)	5 (63)
White, n (%)	22 (88)	4 (80)	17 (81)	9 (75)	6 (75)
Diseases duration, mean (SD, yrs)	8.4 (7.6)	2.2 (1.9)	7.9 (7.8)	8.6 (8.6)	14 (14.2)
Education level, n (%)	0.1 (7.0)	2.2 (1.9)	1.5 (1.0)	0.0 (0.0)	11 (11.2)
Low	2 (8)	0 (0)	3 (16)	2 (17)	0 (0)
Medium	15 (60)	5 (100)	12 (63)	6 (50)	6 (75)
High	8 (32)	0 (0)	4 (21)	4 (33)	2 (25)
Cumulative ACR manifestations, n (%)	0 (52)	0(0)	4 (21)	+ ( <i>33</i> )	2 (23)
Malar rash	13 (52)	2 (40)	11 (52)	6 (50)	6 (75)
Discoid rash	5 (20)	2 (40)	3 (14)	1 (8)	0 (73)
	. ,	2 (40) 3 (60)	· /	. ,	
Photosensitivity	10(40)		9 (43) 6 (20)	4 (33) 0	1 (13)
Oral ulcers	6 (24)	0	6 (29)		4 (50)
Serositis	5 (20)	2 (40)	5 (24)	3 (25)	4 (50)
Arthritis	21 (84)	3 (60)	16 (76)	6 (50)	6 (75)
Renal disorder	9 (36)	1 (20)	4 (19)	1 (8)	6 (75)
Neurologic disorder	3 (12)	1 (20)	3 (14)	5 (42)	0
Hematologic disorder	12 (48)	2 (40)	10 (48)	9 (75)	4 (50)
Immunologic disorder	17 (68)	3 (60)	14 (67)	9 (75)	6 (75)
Antinuclear antibody	25 (100)	5 (100)	20 (95)	12 (100)	7 (88)
Medication, n (%)					
Corticosteroids	9 (36)	2 (40)	13 (62)	3 (25)	7 (88)
NSAID	6 (24)	1 (20)	3 (14)	1 (8)	2 (25)
Antimalarials	12 (48)	2 (40)	13 (62)	2 (17)	3 (38)
Immunosuppressants	11 (44)	2 (40)	6 (29)	3 (25)	4 (50)
Antiplatelet medication	4 (16)	0	5 (24)	3 (25)	0
Oral anticoagulants	1 (4)	0	4 (19)	7 (58)	0
Symptom duration, mean (SD) yrs	3.1 (4.5)	0.6 (0.3)	1.7 (3.4)	2.7 (5.1)	2.7 (3.7)
SLEDAI score, mean (SD)	4.1 (4.7)	6.4 (4.6)	9.7 (5.4)	6.7 (4.9)	5.8 (5.6)
SLEDAI score, mean (SD) excluding NP	4.1 (4.7)	4.8 (4.4)	5.2 (2.8)	2.7 (2.3)	4.8 (4.5)
Low complement (C3 or C4, n (%)	12 (48)	2 (40)	12 (57)	6 (50)	5 (63)
MRI abnormality, n (%)	12 (48)	4 (80)	15 (72)	12 (100)	3 (38)
Cardiovascular risk factors, n (%)					
Diabetes mellitus	0	0	0	1 (8)	1 (13)
Hypertension	3 (12)	1 (20)	5 (24)	3 (25)	4 (50)
Obesity	3 (12)	1 (20)	2 (10)	2 (17)	1 (13)
Smoking	7 (28)	2 (40)	2 (10)	3 (25)	1 (13)
Hypercholesterolemia	3 (12)	0	2 (10)	0	3 (40)
Lupus anticoagulant	7 (33)	0	12 (57)	7 (58)	1 (13)
Anticardiolipin antibody IgG	3 (12)	0	7 (33)	8 (67)	1 (13)
Anticardiolipin antibody IgM	3 (12)	0	5 (24)	1 (8)	0
Veurological assessment, n (%)	5 (120	0	5 (24)	1 (0)	0
Headache (if included in ICHD-II)	12 (48)	0	9 (43)	6 (50)	6 (75)
Seizures	2 (8)	1 (20)	7 (33)	3 (25)	0 (75)
Transient ischemic attacks	2 (8)	0	0	3 (25)	0
Abnormalities on physical examination		0			
Abnormanues on physical examination Psychiatric assessment, n (%)	4 (12)	U	9 (43)	6 (50)	1 (13)
	11 (44)	1 (20)	0 (24)	6 (50)	6 (75)
No psychopathology	11 (44)	1 (20)	9 (34) 7 (22)	6 (50)	6 (75)
Mood disorders (DSM-IV)	12 (48)	4 (80)	7 (33)	5 (42)	2 (25)
Anxiety disorders (DSM-IV)	1 (4)	0	1 (5)	0	1 (13)
Psychotic disorders (DSM-IV)	4 (16)	0	4 (20)	1 (8)	0
Cognitive function, n (%)					
Normal	12 (48)	2 (40)	4 (19)	4 (33)	5 (63)
Questionable	8 (32)	2 (40)	2 (10)	2 (17)	2 (25)
Abnormal	5 (20)	1 (20)	13 (62)	6 (50)	1 (13)

NP: neuropsychiatric; ICHD: International Classification of Headache Disorders; DSM: Diagnostic and Statistical Manual of Mental Disorders; SLE: systemic lupus erythematosus; ACR: American College of Rheumatology; NSAID: nonsteroidal antiinflammatory drugs; SLEDAI: Systemic Lupus Erythematosus Disease Activity Index; MRI: magnetic resonance imaging.

Personal non-commercial use only. The Journal of Rheumatology Copyright © 2012. All rights reserved.

The Journal of Rheumatology 2012; 39:11; doi:10.3899/jrheum.120545

Diagnosis	Undefined NPSLE, n = 5	Inflammatory NPSLE, n = 21	Ischemic NPSLE, n = 12	
	-			
Cognitive dysfunction	2 (40)	14 (67)	6 (50)	
Cerebrovascular disease		3 (14)	11 (92)	
Infarction		3 (100)	10 (91)	
Infarction and hemorrhage			1 (9)	
Headache		9 (43)	6 (50)	
Intractable		4 (44)	3 (50)	
Migraine with aura		4 (44)	1 (17)	
Migraine without aura			2 (33)	
Tension		1 (11)		
Mood disorders	3 (60)	7 (33)	3 (25)	
Major depressive episode	2 (67)	3 (43)	1 (33)	
Depressive features	1 (33)	2 (29)	2 (67)	
Mixed (depression and manic)		2 (29)		
Seizure disorders		4 (19)	2 (17)	
Generalized		4 (100)	2 (100)	
Psychosis		4 (19)		
Anxiety disorder		1 (5)		
Myelopathy		1 (5)		
Movement disorder (chorea)		1 (5)		
Mononeuropathy		1 (5)		
Multiplex		1 (100)		
Polyneuropathy			1 (8)	

*Table 3*. ACR 1999 NPSLE diagnoses in primary NPSLE patients. Patients can have multiple diagnosis. ACR 1999 diagnoses not found in our patients include aseptic meningitis, demyelinating syndrome, acute confusional state, acute inflammatory demyelinating polyradiculopathy, autonomic disorder, myasthenia gravis, cranial neuropathy, and plexopathy. Data are number (%).

ACR: American College of Rheumatology; NPSLE: neuropsychiatric systemic lupus erythematosus.

tions of patients with primary NPSLE<sup>5</sup>. In undefined and inflammatory NPSLE, 2 and 4 patients, respectively, had 1 ACR 1999 diagnosis, and 2 and 10 patients had 2 diagnoses. In inflammatory NPSLE, 7 patients had 3 diagnoses. In ischemic NPSLE, 1 patient had 1 diagnosis, 6 had 2 diagnoses, 4 had 3 diagnoses, and 1 patient had 4 diagnoses.

Fourteen patients (14/21; 67%) with inflammatory NPSLE had an ACR diagnosis of cognitive dysfunction; in 2 (2/21; 10%) cognitive dysfunction was severe. Of the 2 other patients with severe cognitive dysfunction, 1 had ischemic NPSLE, and 1 non-NPSLE patient was diagnosed with Alzheimer's disease.

### DISCUSSION

To our knowledge this is the first prospective evaluation of a standardized, multidisciplinary assessment of NP symptoms in patients with SLE. Of our patients, half were diagnosed with primary NPSLE. This level of attribution of NP symptoms directly to SLE is in agreement with data from a Canadian cohort, although that study lacked a standard multidisciplinary assessment<sup>32</sup>. In primary NPSLE, most patients experience inflammatory NPSLE followed in frequency by ischemic NPSLE, and in a small proportion, undefined NPSLE.

Inflammatory NPSLE is best characterized by high disease activity and cognitive dysfunction. Although a considerable part of the SLEDAI is based on NP symptoms, SLEDAI results with exclusion of NP symptoms are still significantly higher in this phenotype. Disease activity is a known risk factor for NPSLE<sup>33,34</sup>.

High prevalence of cognitive dysfunction in NPSLE is in accord with recent studies<sup>3,4</sup>. The recent advances in experimental science, linking autoantibodies to cognitive dysfunction, underline the relevance of cognitive dysfunction in inflammatory NPSLE<sup>1,2</sup>. However, attribution of cognitive dysfunction to SLE is arguable in many patients<sup>35</sup>. In our cohort we also encountered cognitive dysfunction in non-NPSLE patients, but prevalence of cognitive dysfunction in inflammatory NPSLE is distinctly different. In contrast, prevalence of mood disorders and headache was surprisingly similar in all groups, even in non-NPSLE. The lack of specificity of headache as a symptom of NPSLE is underscored by results from a case-control study and a metaanalysis<sup>36,37</sup>. With respect to mood disorders, this is reinforced by evidence that depression and anxiety in patients with SLE are related to psychosocial circumstances, intrusiveness of illness, and symptom concealment rather than to SLE-specific variables<sup>38,39</sup>. Hence cognitive dysfunction is a specific feature of inflammatory NPSLE, in contrast to symptoms such as headache or mood disorders.

Ischemic NPSLE is best characterized by high prevalence of IgG aCL and the presence of abnormalities on MRI.

In patients with ischemic NPSLE, traditional CV risk factors (diabetes, hypertension, obesity, smoking, and total cholesterol) are not markedly increased. Traditional risk factors are known to be inadequate to explain ischemic events and its precursors in patients with SLE<sup>40,41</sup>. In contrast, IgG aCL did aid in diagnosing ischemic NPSLE in our cohort. NP manifestations in relation to the antiphospholipid syndrome, whether primary or secondary, are described in the literature<sup>42,43,44</sup>.

In our expert opinion, based on the algorithm, abnormalities on MRI present a key item for classification of a patient into the ischemic NPSLE phenotype. Therefore a sensitivity of 100% for MRI in ischemic NPSLE is expected because of the circular reasoning of the judgment of MRI findings; what is surprising, however, is the poor specificity (40%), which is due to abnormalities on MRI in other phenotypes of NPSLE and ischemic lesions that were not explanatory for the current symptoms. TIA were reported in the group of patients with ischemic NPSLE only; pathogenetically this is to be expected, however, the findings could be of value in clinical practice. To question patients with SLE on TIA is a simple, safe, and inexpensive procedure and proved to be relevant as it could be specifically indicative of ischemic NPSLE.

Secondary NPSLE is best characterized by a history of renal disease and by the use of corticosteroids. Corticosteroids are a potential cause of NP symptoms, by contrast this mechanism should be excluded in primary NPSLE<sup>5</sup>. The high prevalence of history of renal disease in this phenotype could be reflective of overall, including cerebral, organ damage or could be the result of transient electrolyte disturbances.

Although clinical assessments show more abnormalities in patients with NPSLE, non-NPSLE patients do not have exclusively normal results. This emphasizes the lack of a "gold standard" for NPSLE and the presence of nonspecific NP manifestations in patients with SLE<sup>35</sup>. A diagnosis based on multidisciplinary consensus after a standardized assessment is currently the best strategy for diagnosing and classifying NPSLE and is therefore an appropriate reference standard<sup>45</sup>.

The described clinical phenotypes show similarities that probably partly represent the true picture of clinical characteristics in patients of different phenotypes. Nonetheless, when more patients have been assessed, different phenotypes of NPSLE could become more distinguishable. The selection of our patient cohort likely was biased through referral by their treating physicians. However, since it is plausible that patients with a clear diagnosis will be referred less often, the diagnostic value of specific characteristics is likely underestimated in our cohort.

Our study also shows the feasibility and necessity of a dedicated clinic for NPSLE. In the future, we will investigate the accuracy of our diagnoses and the effect of therapy started in the cohort described here, reevaluating the patients in a multidisciplinary followup visit. Eventually, instead of diagnoses and classification of NPSLE based on expert opinion, we aim to establish a validated model that can be used for therapeutic decisions in NPSLE. Therapeutic strategies based on clinical phenotypes could become even more important when advances in experimental science lead to new targets for therapy in NPSLE<sup>46</sup>.

We have described a multidisciplinary diagnostic approach for NPSLE and its clinical phenotypes based on the suspected pathogenetic mechanism. Three defined NPSLE phenotypes show some remarkable features, although characteristics also overlap. The characteristics found to be most helpful in the diagnostic process are disease activity and cognitive dysfunction with respect to inflammatory NPSLE, and abnormalities on MRI and IgG aCL for ischemic NPSLE. Secondary NPSLE is best characterized by the use of corticosteroids, often also the cause for NP symptoms.

# REFERENCES

- Faust TW, Chang EH, Kowal C, Berlin R, Gazaryan IG, Bertini E, et al. Neurotoxic lupus autoantibodies alter brain function through two distinct mechanisms. Proc Natl Acad Sci U S A 2010;107:18569-74.
- Lu XY, Chen XX, Huang LD, Zhu CQ, Gu YY, Ye S. Anti-alpha-internexin autoantibody from neuropsychiatric lupus induce cognitive damage via inhibiting axonal elongation and promote neuron apoptosis. PLoS One 2010;5:e11124.
- 3. Ainiala H, Loukkola J, Peltola J, Korpela M, Hietaharju A. The prevalence of neuropsychiatric syndromes in systemic lupus erythematosus. Neurology 2001;57:496-500.
- Brey RL, Holliday SL, Saklad AR, Navarrete MG, Hermosillo-Romo D, Stallworth CL, et al. Neuropsychiatric syndromes in lupus: Prevalence using standardized definitions. Neurology 2002;58:1214-20.
- The American College of Rheumatology nomenclature and case definitions for neuropsychiatric lupus syndromes. Arthritis Rheum 1999;42:599-608.
- Hanly JG. ACR classification criteria for systemic lupus erythematosus: Limitations and revisions to neuropsychiatric variables. Lupus 2004;13:861-4.
- Nived O, Sturfelt G, Liang MH, De Pablo P. The ACR nomenclature for CNS lupus revisited. Lupus 2003;12:872-6.
- Hanly JG, Harrison MJ. Management of neuropsychiatric lupus. Best Pract Res Clin Rheumatol 2005;19:799-821.
- 9. Sanna G, Bertolaccini ML, Khamashta MA. Neuropsychiatric involvement in systemic lupus erythematosus: Current therapeutic approach. Curr Pharm Des 2008;14:1261-9.
- Efthimiou P, Blanco M. Pathogenesis of neuropsychiatric systemic lupus erythematosus and potential biomarkers. Mod Rheumatol 2009;19:457-68.
- 11. Huizinga TW, Diamond B. Lupus and the central nervous system. Lupus 2008;17:376-9.
- Borchers AT, Aoki CA, Naguwa SM, Keen CL, Shoenfeld Y, Gershwin ME. Neuropsychiatric features of systemic lupus erythematosus. Autoimmun Rev 2005;4:329-44.
- Sanchez-Guerrero J, Aranow C, Mackay M, Volpe B, Diamond B. Neuropsychiatric systemic lupus erythematosus reconsidered. Nat Clin Pract Rheumatol 2008;4:112-3.
- 14. Steup-Beekman GM, Gahrmann BM, Steens SC, van Buchem MA,

Personal non-commercial use only. The Journal of Rheumatology Copyright © 2012. All rights reserved.

The Journal of Rheumatology 2012; 39:11; doi:10.3899/jrheum.120545

Huizinga TW. Seasonal variation of primary neuropsychiatric systemic lupus erythematosus. J Rheumatol 2006;33:1913-4.

- Hochberg MC. Updating the American College of Rheumatology revised criteria for the classification of systemic lupus erythematosus. Arthritis Rheum 1997;40:1725.
- Tan EM, Cohen AS, Fries JF, Masi AT, McShane DJ, Rothfield NF, et al. The 1982 revised criteria for the classification of systemic lupus erythematosus. Arthritis Rheum 1982;25:1271-7.
- Bombardier C, Gladman DD, Urowitz MB, Caron D, Chang CH. Derivation of the SLEDAI. A disease activity index for lupus patients. The Committee on Prognosis Studies in SLE. Arthritis Rheum 1992;35:630-40.
- Conroy RM, Pyorala K, Fitzgerald AP, Sans S, Menotti A, De Backer G, et al. Estimation of ten-year risk of fatal cardiovascular disease in Europe: The SCORE project. Eur Heart J 2003; 24:987-1003.
- Ware JE Jr, Sherbourne CD. The MOS 36-item Short-Form Health Survey (SF-36). I. Conceptual framework and item selection. Med Care 1992;30:473-83.
- Ware JE Jr, Gandek B. Overview of the SF-36 Health Survey and the International Quality of Life Assessment (IQOLA) Project. J Clin Epidemiol 1998;51:903-12.
- Aaronson NK, Muller M, Cohen PD, Essink-Bot ML, Fekkes M, Sanderman R, et al. Translation, validation, and norming of the Dutch language version of the SF-36 Health Survey in community and chronic disease populations. J Clin Epidemiol 1998; 51:1055-68.
- 22. Spinhoven P, Ormel J, Sloekers PP, Kempen GI, Speckens AE, Van Hemert AM. A validation study of the Hospital Anxiety and Depression Scale (HADS) in different groups of Dutch subjects. Psychol Med 1997;27:363-70.
- Zigmond AS, Snaith RP. The Hospital Anxiety And Depression Scale. Acta Psychiatr Scand 1983;67:361-70.
- 24. Bernstein EM, Putnam FW. Development, reliability, and validity of a dissociation scale. J Nerv Ment Dis 1986;174:727-35.
- Cummings JL, Mega M, Gray K, Rosenberg-Thompson S, Carusi DA, Gornbein J. The Neuropsychiatric Inventory: Comprehensive assessment of psychopathology in dementia. Neurology 1994;44:2308-14.
- 26. American Psychiatric Association. Diagnostic and statistical manual of mental disorders. 4th ed. Text revision. 2000.
- Spreen O, Strauss E. A compendium of neuropsychological tests: Administration, norms and commentary. 2nd ed. New York: Oxford University Press; 1998.
- Sibbitt WL Jr, Sibbitt RR, Griffey RH, Eckel C, Bankhurst AD. Magnetic resonance and computed tomographic imaging in the evaluation of acute neuropsychiatric disease in systemic lupus erythematosus. Ann Rheum Dis 1989;48:1014-22.
- Emmer BJ, Steens SC, Steup-Beekman GM, van der Grond J, Admiraal-Behloul F, Olofsen H, et al. Detection of change in CNS involvement in neuropsychiatric SLE: A magnetization transfer study. J Magn Reson Imaging 2006;24:812-6.
- Luyendijk J, Steens SC, Ouwendijk WJ, Steup-Beekman GM, Bollen EL, van der Grond J, et al. Neuropsychiatric systemic lupus erythematosus: Lessons learned from magnetic resonance imaging. Arthritis Rheum 2011;63:722-32.
- 31. Bertsias GK, Ioannidis JP, Aringer M, Bollen E, Bombardieri S, Bruce IN, et al. EULAR recommendations for the management of systemic lupus erythematosus with neuropsychiatric manifestations: Report of a task force of the EULAR Standing Committee for Clinical Affairs. Ann Rheum Dis 2010;69:2074-82.

- Hanly JG, McCurdy G, Fougere L, Douglas JA, Thompson K. Neuropsychiatric events in systemic lupus erythematosus: Attribution and clinical significance. J Rheumatol 2004; 31:2156-62.
- 33. Andrade RM, Alarcon GS, Gonzalez LA, Fernandez M, Apte M, Vila LM, et al. Seizures in patients with systemic lupus erythematosus: Data from LUMINA, a multiethnic cohort (LUMINA LIV). Ann Rheum Dis 2008;67:829-34.
- Mikdashi J, Handwerger B. Predictors of neuropsychiatric damage in systemic lupus erythematosus: Data from the Maryland lupus cohort. Rheumatology 2004;43:1555-60.
- 35. Ainiala H, Hietaharju A, Loukkola J, Peltola J, Korpela M, Metsanoja R, et al. Validity of the new American College of Rheumatology criteria for neuropsychiatric lupus syndromes: A population-based evaluation. Arthritis Rheum 2001;45:419-23.
- 36. Fernandez-Nebro A, Palacios-Munoz R, Gordillo J, Abarca-Costalago M, De Haro-Liger M, Rodriguez-Andreu J, et al. Chronic or recurrent headache in patients with systemic lupus erythematosus: A case control study. Lupus 1999;8:151-6.
- Mitsikostas DD, Sfikakis PP, Goadsby PJ. A meta-analysis for headache in systemic lupus erythematosus: The evidence and the myth. Brain 2004;127:1200-9.
- Schattner E, Shahar G, Lerman S, Shakra MA. Depression in systemic lupus erythematosus: The key role of illness intrusiveness and concealment of symptoms. Psychiatry 2010;73:329-40.
- 39. Shortall E, Isenberg D, Newman SP. Factors associated with mood and mood disorders in SLE. Lupus 1995;4:272-9.
- 40. Esdaile JM, Abrahamowicz M, Grodzicky T, Li Y, Panaritis C, du Berger R, et al. Traditional Framingham risk factors fail to fully account for accelerated atherosclerosis in systemic lupus erythematosus. Arthritis Rheum 2001;44:2331-7.
- Thompson T, Sutton-Tyrrell K, Wildman RP, Kao A, Fitzgerald SG, Shook B, et al. Progression of carotid intima-media thickness and plaque in women with systemic lupus erythematosus. Arthritis Rheum 2008;58:835-42.
- 42. Cervera R, Asherson RA, Font J, Tikly M, Pallares L, Chamorro A, et al. Chorea in the antiphospholipid syndrome. Clinical, radiologic, and immunologic characteristics of 50 patients from our clinics and the recent literature. Medicine 1997;76:203-12.
- 43. D'Cruz DP, Mellor-Pita S, Joven B, Sanna G, Allanson J, Taylor J, et al. Transverse myelitis as the first manifestation of systemic lupus erythematosus or lupus-like disease: Good functional outcome and relevance of antiphospholipid antibodies. J Rheumatol 2004;31:280-5.
- 44. Sanna G, D'Cruz D, Cuadrado MJ. Cerebral manifestations in the antiphospholipid (Hughes) syndrome. Rheum Dis Clin North Am 2006;32:465-90.
- 45. Rutjes AW, Reitsma JB, Coomarasamy A, Khan KS, Bossuyt PM. Evaluation of diagnostic tests when there is no gold standard. A review of methods. Health Technol Assess 2007;11:iii, ix-51.
- 46. Bloom O, Cheng KF, He M, Papatheodorou A, Volpe BT, Diamond B, et al. Generation of a unique small molecule peptidomimetic that neutralizes lupus autoantibody activity. Proc Natl Acad Sci U S A 2011;108:10255-9.